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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/464,497	12/15/1999	MICHAEL A'HEARN	99-120-4	7647	
7	590 04/09/2003				
	WS CATERPILLAR	EXAMINER			
PATENT DEPARTMENT AB 6490			LOPEZ, FRANK D		
100 N.E.ADAN	MS STREET				
PEORIA, IL 616296490			ART UNIT	PAPER NUMBER	
•			3745	15	
			DATE MAILED: 04/09/2003	13	

Please find below and/or attached an Office communication concerning this application or proceeding.

	•	Application No.	Applicant(s)				
		09/464,497	A'HEARN ET AL.				
	Office Action Summary	Examiner	Art Unit				
		F. Daniel Lopez	3745				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Peri d for Reply							
THE I - External after - If the - If NC - Failu - Any	ORTENED STATUTORY PERIOD FOR RE MAILING DATE OF THIS COMMUNICATIOnsions of time may be available under the provisions of 37 CFI SIX (6) MONTHS from the mailing date of this communications period for reply specified above is less than thirty (30) days, at period for reply is specified above, the maximum statutory pere to reply within the set or extended period for reply will, by streply received by the Office later than three months after the med patent term adjustment. See 37 CFR 1.704(b).	N. R 1.136(a). In no event, however, may a reply be reply within the statutory minimum of thirty (30) riod will apply and will expire SIX (6) MONTHS atute, cause the application to become ABAND	be timely filed I days will be considered timely. If on the mailing date of this communication. ONED (35 U.S.C. § 133).				
1)	Responsive to communication(s) filed on	20 February 2003					
2a)□		This action is non-final.					
3)	Since this application is in condition for all		nrosecution as to the merits is				
,	closed in accordance with the practice unition of Claims						
4)⊠	Claim(s) 1-16 is/are pending in the applica	ition.					
	4a) Of the above claim(s) is/are withdrawn from consideration.						
5)[5) Claim(s) is/are allowed.						
6)⊠	6)⊠ Claim(s) <u>1-16</u> is/are rejected.						
7)	Claim(s) is/are objected to.						
8)□	Claim(s) are subject to restriction ar	nd/or election requirement.					
Application Papers							
9)☐ The specification is objected to by the Examiner.							
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.							
If approved, corrected drawings are required in reply to this Office action.							
12) The oath or declaration is objected to by the Examiner.							
	under 35 U.S.C. §§ 119 and 120	-i oriente con des 051100 C C 44	0(-) (4) (5)				
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
a) All b) Some * c) None of:							
1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).							
 a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. 							
Attachment(s)							
2) 🔲 Notic	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948 mation Disclosure Statement(s) (PTO-1449) Paper No) 5) Notice of Inform	mary (PTO-413) Paper No(s) nal Patent Application (PTO-152)				

Response to Amendment

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 20, 2003 has been entered.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claim Rejections - 35 USC § 112

Claims 1-16 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 last paragraph claims "one of the first and second outlet ports of the first directional control valves being in communication with the supply input port of the second directional control valve such that a regenerative flow is established between the first fluid cylinder and the supply inlet port of the second directional control valve in response to fluid communication being established between the supply inlet port of the first directional control valve and the one of the first and second outlet ports of the first directional control valve" (emphasis added), which appears to contradict the specification. The only discussion of regenerative flow starts on page 17 last paragraph, with a discussion of extending the first cylinder. Page 18 line 5-21 states that "The exhaust flow from the rod end port 10 is directed to the second outlet port 40 of the first directional control valve... is directed across the first directional control valve 24 and combined with the fluid in the supply port 36... The first fluid cylinder 26 extends due to the difference in area between the head end... and the rod end". Although not explicitly stated, it is understood that the flow from the rod end flows to the head end of the cylinder, and therefore can not flow as a regenerative flow to the second directional

control valve. (For fluid from the rod end to be a regenerative flow to the second directional control valve, it would have to flow through a first portion of the line 17, between the line 36 and a second portion of line 17 going to the second directional control valve, while fluid is flow in the opposite direction, to feed fluid to the head end; which won't happen).

In order to overcome this rejection, the regenerative flow must be claimed as coming from the second outlet port (i.e. the rod end, not from one of the first and second outlet ports) to the first outlet port (the head end, not the second directional control valve). It is understood that the disclosure includes elements controlling the regenerative flow in the first directional control valve by operation of the second directional control valve; but it is unclear what specific limitation applicant is trying to claim, especially since the regenerative flow happens without operation of the second directional control valve.

Claims 2-16 are indefinite, since they depend from claim 1.

Claim Rejections - 35 USC § 102

Claim 1, inasmuch as it is definite, is rejected under 35 U.S.C. § 102(b) as being clearly anticipated by Budzich.

Claim Rejections - 35 USC § 103

Claims 4-8, inasmuch as thery are definite, are rejected under 35 U.S.C. § 103 as being unpatentable over Budzich in view of Johnson. Budzich discloses a fluid system with a single source (10) of pressurized supply fluid that receives fluid from a reservoir (16), comprising first and second fluid circuits connected to the single source, having respecting first (e.g. 12) and second (e.g. 13) directional control valves connected to respective first and second cylinders having head end and rod end ports; wherein each directional control valve includes supply inlet, exhaust and first and second outlet ports connected respectively to the supply source, reservoir, and head end and rod end ports of the respective cylinder; with each directional control valve movable from a central position to first and second operating positions, with the supply

inlet, exhaust and first and second outlet ports blocked in the central position, and with the supply inlet port communicating with the second outlet port, and the exhaust port communicating with the first outlet port in the first operable position; wherein when the first directional control valve is in the second operable position, the supply inlet port communicates with the first outlet port, and the second outlet port communicates with the supply inlet port; and wherein when the second directional control valve is in the second operable position, the supply inlet port communicates with the first outlet port, and the second outlet port communicates with the exhaust port; but does not disclose first and second vented load check valves disposed between first and second outlet ports, respectively, of the first directional control valve, and head end and rod end ports, respectively, of the first fluid cylinder; a pilot control system having a control input arrangement connected to a source of pressurized pilot fluid, with first and second directional control valves being movable from their center positions by pilot fluid directed through first, second, third and fourth pilot conduits; with first and second vented load check valves each having pressure chambers in communication with head end or rod end ports, respectively, through orifice conduits, and the pilot control system includes respective first and second two position valves, positioned between the respective pressure chamber and the reservoir, spring biased to a closed position and movable in response to pilot fluid directed to respective first and second ends of the first directional control valve; with a third and fourth vented load check valves disposed between first and second outlet ports, respectively, of the second directional control valve, and head end and rod end ports, respectively, of the second fluid cylinder; with third and fourth vented load check valves each having pressure chambers in communication with head end or rod end ports, respectively, through orifice conduits, and the pilot control system includes respective third and fourth two position valves, positioned between the respective pressure chamber and the reservoir, spring biased to a closed position and movable in response to pilot fluid directed to respective first and second ends of the second directional control valve.

Johnson teaches, for a fluid circuit having a directional control valve which includes supply inlet, exhaust and first and second outlet ports connected respectively to a supply source, reservoir, and head end and rod end ports of a cylinder; and movable from a central position to first and second operating positions, that there are first and second vented load check valves (20) disposed between first and second outlet ports, respectively, of the first directional control valve, and head end and rod end ports, respectively, of the first fluid cylinder; a pilot control system having a control input arrangement (22) connected to a source of pressurized pilot fluid, with the directional control valve being movable from its center position by pilot fluid directed through first and second pilot conduits (24, 26); with first and second vented load check valves each having pressure chambers (74) in communication with head end or rod end ports, respectively, through orifice conduits (82), and the pilot control system includes respective first and second two position valves (90), positioned between the respective pressure chamber and the reservoir, spring biased to a closed position and movable in response to pilot fluid directed to respective first and second ends of the first directional control valve, for the purpose of preventing creep of the cylinder.

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Since Budzich and Johnson are both from the same field of endeavor, the purpose disclosed by Johnson would have been recognized in the pertinent art of Budzich. It would have been obvious at the time the invention was made to one having ordinary skill in the art to add first and second vented load check valves disposed between first and second outlet ports, respectively, of the first directional control valve of Budzich, and head end and rod end ports, respectively, of the first fluid cylinder; a pilot control system having a control input arrangement connected to a source of pressurized pilot fluid, with first and second directional control valves being movable from their center positions by pilot fluid directed through first, second, third and fourth pilot conduits; with first and second vented load check valves each having pressure chambers in communication with head end or rod end ports, respectively, through orifice conduits, and the pilot control system includes respective first and second two position valves, positioned between the respective pressure chamber and the reservoir, spring biased to a closed position and movable in response to pilot fluid directed to

respective first and second ends of the first directional control valve; and add third and fourth vented load check valves disposed between first and second outlet ports, respectively, of the second directional control valve of Budzich, and head end and rod end ports, respectively, of the second fluid cylinder; with third and fourth vented load check valves each having pressure chambers in communication with head end or rod end ports, respectively, through orifice conduits, and the pilot control system includes respective third and fourth two position valves, positioned between the respective pressure chamber and the reservoir, spring biased to a closed position and movable in response to pilot fluid directed to respective first and second ends of the second directional control valve, as taught by Johnson, for the purpose of preventing creep of the first and second cylinders.

Conclusion

Claims 2, 3 and 9-16 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, second paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dan Lopez whose telephone number is (703) 308-0008. The examiner can normally be reached on Monday-Thursday from 6:30 AM -4:00 PM. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ed Look, can be reached on (703) 308-1044. The fax number for this group is (703) 872-9302. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0861.

F. Daniel Lopez

Primary Examiner Art Unit 3745

April 8, 2003